

1 JOHN D. PERNICK, SBN 155468
2 jpernick@be-law.com
BERGESON, LLP
3 111 N. Market Street, Suite 600
San Jose, CA 95113
Telephone: (408) 291-6200
4 Facsimile: (408) 297-6000

5 Attorneys for Defendant
6 GRAPH FOUNDATION, INC.

7

8 UNITED STATES DISTRICT COURT
9 NORTHERN DISTRICT OF CALIFORNIA
10 SAN FRANCISCO DIVISION

11 NEO4J, INC., a Delaware corporation, and
12 NEO4J SWEDEN AB, a Swedish corporation

13 Plaintiffs,

14 vs.

15 GRAPH FOUNDATION, INC., an Ohio
corporation, GRAPHGRID, INC., and
16 ATOMRAIN INC., a Nevada corporation

17 Defendant.

18 Case No. 5:19-CV-06226-EJD

19 **REQUEST FOR JUDICIAL NOTICE IN
SUPPORT OF GRAPH FOUNDATION,
INC.'S MOTION TO DISMISS**

20 Date: October 29, 2020

21 Time: 9:00 a.m.

22 Place: Courtroom 4, 5th Floor

23 Judge: Hon. Edward J. Davila

24

25

26

27

28

1 **TO ALL PARTIES AND TO THEIR ATTORNEYS OF RECORD:**

2 Defendant Graph Foundation, Inc. (“GFI”) hereby submits this Request for Judicial Notice
 3 in Support of its Motion to Dismiss the Fifth, Sixth and Seventh Causes of Action of the Amended
 4 Complaint.

5 The documents are attached as Exhibits 1 to 32 of the Declaration of John D. Pernick in
 6 support of GFI’s Motion to Dismiss.

7 Rule 201 of the Federal Rules of Evidence provides that judicial notice may be taken of a
 8 fact that is not subject to reasonable dispute because it is generally known within the trial court’s
 9 jurisdiction or can be accurately and readily determined from sources whose accuracy cannot
 10 reasonably be questioned. Rule 201(c)(2) provides that the Court “must take judicial notice if a
 11 party requests it and the court is supplied with the necessary information.”

12 Given the centrality of each exhibit to the allegations in Plaintiffs’ Amended Complaint
 13 and given that each exhibit’s authenticity is not subject to reasonable dispute, judicial notice is
 14 proper under applicable law. Thus, each may be properly considered as part GFI’s Motion to
 15 Dismiss, without converting that motion into one for summary judgment. *Lee v. City of Los*
 16 *Angeles*, 250 F.3d 668, 688 (9th Cir. 2001) (“[a] court may consider “material which is properly
 17 submitted as part of the complaint” on a motion to dismiss without converting the motion to
 18 dismiss into a motion for summary judgment”).

19 Consideration of these exhibits fits squarely within the Ninth Circuit’s precedent for
 20 judicial notice, and it is consistent with the consideration given by many other courts to similar
 21 documents when evaluating such a motion.

22 GFI respectfully submits this Request for Judicial Notice for the following documents:

23 1. GNU Affero General Public License version 3 (AGPLv3) by Free Software
 24 Foundation from the GNU website (URL: <http://www.gnu.org>), true and correct copies of which
 25 are attached as Exhibit 1 to the Pernick Declaration. This document is repeatedly referenced by
 26 Plaintiffs in the Amended Complaint and is the license that is used for the Neo4j Sweden Software
 27 License on which Neo4j Sweden’s Breach of License claim is based. *See* Dkt. No. 52 at 5:14-6:14
 28 6:22-9:21. Even if a document is not attached to a pleading, it may be incorporated by reference

1 into a pleading if it refers extensively to the document or the document forms the basis of an
 2 underlying claim or defense. *See Van Buskirk v. CNN*, 284 F.3d 977, 980 (9th Cir. 2002)
 3 (recognizing that a court may rely upon “the doctrine of ‘incorporation by reference’ to consider
 4 documents that were referenced extensively”). The Court previously granted judicial notice of
 5 Exhibit 1 in the related case, Related Case *Neo4j, Inc. et al. v. PureThink LLC et al, Case No.*
 6 5:18-cv-07182-EJD, Dkt. No. 70 at 7:10-27.

7 2. The Neo4j Sweden Software License that is the basis of Neo4j Sweden’s Breach of
 8 License claim, a true and correct copy of which is attached as Exhibit 2 to the Pernick Declaration.
 9 Even if a document is not attached to a pleading, it may be incorporated by reference into a
 10 pleading if it refers extensively to the document or the document forms the basis of an underlying
 11 claim or defense. *See Van Buskirk*, 284 F.3d at 980 (recognizing that a court may rely upon “the
 12 doctrine of ‘incorporation by reference’ to consider documents that were referenced extensively”);
 13 *Parrino v. FHP, Inc.*, 146 F.3d 699, 703 (9th Cir. 1998), as amended (July 28, 1998) (a motion to
 14 dismiss may consider a document whose contents are alleged in the complaint and whose
 15 authenticity is undisputed but which is not physically attached to complaint). As such, the Court
 16 may take judicial notice of the Neo4j Sweden Software License.

17 3. The contents page for enterprise/neo4j-enterprise source code files from the
 18 ONgDB GitHub repository page
 19 <https://github.com/graphfoundation/ongdb/tree/3.5.9/enterprise/neo4j-enterprise>, a true and correct
 20 copy of which is attached as Exhibit 3 to the Pernick Declaration. The Amended Complaint at
 21 paragraph 68 and Exhibit 36 cites to and incorporates information from the LICENSE.txt
 22 document contained on this page as support for Plaintiffs’ claims that GFI wrongfully removed the
 23 Commons Clause and other language from the Neo4j Sweden Software License. As the Amended
 24 Complaint contains allegations as to some of the contents of this website and the authenticity of
 25 the website or its contents cannot be reasonably disputed, the contents of the website are proper
 26 subjects of judicial notice. *See, e.g., Pollstar v. Gigmania, Ltd.*, 170 F. Supp. 2d 974, 978 (E.D.
 27 Cal. 2000) (granting judicial notice of contents of website where portions of contents were alleged
 28 in complaint); *Craigslist, Inc. v. Dealercmo, Inc.*, No. 16-cv-01451-VC, 2017 U.S. Dist. LEXIS

1 222957, at *8 n.3 (N.D. Cal., April 11, 2017) (granting judicial notice of appearance of webpages
 2 of referenced URLs). As such, the Court may take judicial notice of the contents of this webpage.

3 4. The contents of the NOTICE.txt files from the webpages of the ONgDB GitHub
 4 repository pages for the source code files described in the Amended Complaint and from which
 5 the material in Exhibit 36 is derived that are attached as Exhibits 4 through 30 of the Pernick
 6 Declaration. As the Amended Complaint contains allegations as to some of the contents of these
 7 websites and the authenticity of the websites or their contents cannot be reasonably disputed, the
 8 contents of the websites are proper subjects of judicial notice. *See, e.g., Pollstar*, 170 F. Supp. 2d
 9 at 978 (granting judicial notice of contents of website where portions of contents were alleged in
 10 complaint); *Craigslist, Inc.*, 2017 U.S. Dist. LEXIS 222957, at *8 n.3 (granting judicial notice of
 11 appearance of webpages of referenced URLs). As such the Court may take judicial notice of the
 12 contents of these webpages.

13 5. The contents page for packaging and packaging/standalone from the ONgDB
 14 GitHub repository pages <https://github.com/graphfoundation/ongdb/tree/3.5.9/packaging> and
 15 <https://github.com/graphfoundation/ongdb/tree/3.5.9/packaging/standalone>, true and correct copies
 16 of which are that are attached as Exhibits 31 and 32 of the Pernick Declaration. The Amended
 17 Complaint at Exhibit 36 incorporates information from the LICENSE.txt document contained on
 18 these pages as support for Plaintiffs' claims that GFI wrongfully removed the Commons Clause
 19 and other language from the Neo4j Sweden Software License. As the Amended Complaint
 20 contains allegations as to some of the contents of this website and the authenticity of the website
 21 or its contents cannot be reasonably disputed, the contents of the website are proper subjects of
 22 judicial notice. *See, e.g., Pollstar*, 170 F. Supp. 2d at 978 (granting judicial notice of contents of
 23 website where portions of contents were alleged in complaint); *Craigslist, Inc.*, 2017 U.S. Dist.
 24 LEXIS 222957, *8 n.3 (granting judicial notice of appearance of webpages of referenced URLs).
 25 As such, the Court may take judicial notice of the contents of these webpages.

26

27

28

1 Dated: August 21, 2020

BERGESON, LLP

2 By: /s/ John D. Pernick
3 John D. Pernick

4 Attorneys for Defendant
GRAPH FOUNDATION, INC.

5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28